



# THE OPTIONS CLEARING CORPORATION

#26848

**TO: LARGE OPTION POSITIONS REPORTING FIRMS**

**FROM: GERI LOVE, FIRST VICE PRESIDENT  
BUSINESS OPERATIONS GROUP**

**DATE: JANUARY 6, 2010**

**SUBJECT: LARGE OPTION POSITION REPORTING  
ACKNOWLEDGEMENT MESSAGES**

Large Option Position Reporting (LOPR) firms have requested a confirmation indicating that their LOPR file has been received by OCC. Effective January 8, 2010, OCC will begin creating a LOPR Acknowledgement message which will include the total number of valid LOPR messages received, excluding the header and footer. The message will include the total number of LOPR submission and In Concert Submission and will be generated from OCC upon receipt of the LOPR file.

Please note that this message will only indicate the number of records that have passed FIXML validation. Processing of the LOPR records occurs during nightly processing and records may be accepted or rejected during this processing.

LOPR firms must inform their Clearing Member Representative if they would like to begin receiving this message. OCC has updated the Reference Guide for LOPR Firms (Version 1.4) which can be accessed via the OptionsClearing.com website at: [http://www.optionsclearing.com/components/docs/initiatives/lopr/lopr\\_ref\\_guide.pdf](http://www.optionsclearing.com/components/docs/initiatives/lopr/lopr_ref_guide.pdf)

If you have any questions, please contact your OCC Member Services Representative or the Member Services Help Desk at the following numbers: 800-544-6091 or 800-621-6072. Within Canada, please call 800-424-7320. Clearing Members may also e-mail us at [memberservices@theocc.com](mailto:memberservices@theocc.com).