

**Meeting Name: OSI Steering Committee Conference Call Meeting**

**Date: Friday, January 25, 2008**

Attendees: Charlie Hulihan (CBOE), Edlyn Meringolo (DTCC), Frank Pirih (Goldman Sachs), Mark Grinbaum (ISE), Walter Roesch (Merrill Lynch), Mark Baumgardner, Erin Collins, Doreen Scheulin (OCC), Rich Bommer (SIFMA), Tom Jordan, Brian Connor (Scotttrade), Gary Goldsholle (FINRA),

## **Key Discussion Points:**

### **Agenda**

#### Input from Broker Dealer for Scripted Industry Testing

Mark Baumgardner from OCC wanted this on the agenda to see if any Broker Dealers would be interested in heading up a sub committee to figure out a way of communicating with the Broker Dealer end constituents regarding Scripted Industry Testing. Gary Goldsholle from FINRA stated that they would probably be able to help in this area. He asked for Mark Baumgardner to call him next week to formulate communication for firms.

#### July 31, 2009 Conversion Date Discussion

At the Scripted Industry Testing Sub-Committee meeting Friday morning, 01/25/08 the issue of what exactly does July 31, 2009 Conversion Date mean? Does it mean the first day of trading with the new Symbology Key or does it mean trade normally Friday, 07/31/09, install on Saturday, 08/01/09 and then trade with the new Symbology Key Monday, 08/02/09?

The Broker Dealers liked the Friday, 07/31/09 option in order to have the weekend for problem solving. The committee wants to add this topic to the large OSI Symbology Committee agenda on 02/06/08.

#### System Capability for Scripted Industry Testing

Erin Collins from OCC brought up this issue again from the Friday, 01/25/08 Scripted Industry Testing sub-committee meeting regarding seeking feedback for system

limitations for Scripted Industry Testing. The committee said that they would bring this issue up with their Technical teams and report feedback.

Symposium Panel Update

Walter Roesch from Merrill Lynch reported that the only representation missing from the Broker Dealer panel was the Buy Side and that the format will be Q & A. Walter will first ask scripted questions and then open up to the audience for any additional questions. Committee members were asked to submit any questions for the panel to either Walter or Mark to be compiled.

The order for the day will be as follows –

Exchange Panel

Break

Vendor Panel

Karen Glad from OCC regarding Scripted Industry Testing

Broker Dealer Panel

Our next call is Tuesday, 02/01/08 @ 1:30 p.m., CST, (2:30 p.m., EST).

**Call in:** Phone: 1-888-446-9294 Room: 7779801#