

Meeting Name: OSI Steering Committee Conference Call Meeting

Date: Friday, February 8, 2008

Attendees: Frank Pirih (Goldman Sachs), Mark Grinbaum (ISE), Walter Roesch (Merrill Lynch), Mark Baumgardner, Erin Collins (OCC), Rich Bommer (SIFMA), Brian Connor (Scottrade), Gary Goldsholle (FINRA), George Fischer (Etrade), John Scott (UBS), Pat Scaglione (Broadridge), Jim Halm (Fortis),

Key Discussion Points:

Agenda

1. Symposium Panel Update

The committee has finalized the order of the symposium on February 29th as:

- Opening Speaker
- Exchange Panel
- Break
- OPRA
- Vendor Panel
- Scripted Industry Testing Update
- Broker Dealer Panel
- Question and Answer session

Walter Roesch will be the lead moderator and will introduce Joanna Fields as the opening speaker. Walter will then introduce Mark Baumgardner who will give a project overview. Walter Roesch will then introduce the members of the exchange panel.

It was determined for the exchange panel that all ten members should be on the stage together. Rich Bommer is going to work on the logistics of having all ten exchange panel members on the stage at once. It was also determined that the first row of seating should be reserved for all panel members so that they will be easily accessible for the final question and answer session.

Rich Bommer stressed that in order to complete the notebooks in time that all presentations and panel member biographies need to be submitted by Friday February 15th.

2. Where to add OPRA on the Symposium Panels

It was determined that the best place for OPRA in the Symposium would be to have their own short update much like the Scripted Industry Testing update. They will be slotted between the break and the vendor panel. Mark Baumgardner is working with Joe Corrigan from SIAC to give this update.

Mark Baumgardner also stated that he has made a few updates to the Steering Committee Charter and will republish to the committee.

Our next call is Friday, 02/15/08 @ 1:30 p.m., CST, (2:30 p.m., EST).

Call in: Phone: 888-446-9294 Room: 779801#