

Meeting Name: OSI Steering Committee Conference Call Meeting

Date: Wednesday, July 14, 2008

Attendees: Charlie Hullihan (CBOE), George Swindasz (Fidelity), Jim Halm (Fortis Clearing), Frank Pirin (Goldman Sachs), Mark Grinbaum (ISE), Walter Roesch (Merrill Lynch), Pam Zielezinski (NYSE), Mark Baumgarnder, Karen Glad, Doreen Scheulin (OCC), Mike Altabef (PHLX), Brian Connor (Scottrade), Rich Bommer (SIFMA).

Key Discussion Points:

Non-Standard Symbol Vote

The first topic discussed was the Non-Standard Symbol vote. It was agreed upon from this committee that this topic will be an agenda item at the next larger committee meeting scheduled for Wednesday, 07/23/08.

SIFMA Symposium

This committee agreed to the following order of the symposium -

Walter Roesch from Merrill Lynch will be the moderator, introducing everyone.

Mark Baumgarnder from OCC will open the Symposium with a Symbology project overview and update. Mark will include an overview of the differences between data format conversion and symbol consolidation.

Karen Glad from OCC will give a scripted industry testing overview. Karen will also outline both the data format conversion and symbol consolidation.

Exchange and Utility Panel Report Update.

- Break -

Broker Dealer Panel – best practices, plus elaborate on conversion and consolidation

Vendor Panel – best practices

Open Q & A

The committee agreed that there should be a lot of time allocated to the Q&A portion and that questions would be open to anyone that spoke at the symposium. Walter Roesch from Merrill Lynch suggested that the front row be reserved for all panel participants. It was also decided that cards could be passed out to the audience in addition to a possible rooming microphone.

Frank Pirih from Goldman Sachs along with Rich Bommer from SIFMA and Walter Roesch from Merrill Lynch will get together to finalize the Broker Dealer panel. Mark Baumgardner suggested that Frank also get Pat Scaglione from Broadridge to help lead the Vendor Panel. Mark Baumgardner also suggested that Frank contact Karen Fogarty from Lehman who has been currently active with outside vendors.

All panel participants should email Rich Bommer @ rbommer@sifma.org with contact information along with a brief bio, as soon as possible.

The next meeting is scheduled for Wednesday, 07/30/08 @ 1:30 p.m., CST.

Call in # 1-888-446-9294 Participant Code: 779801 #