

Meeting Name: OSI Steering Committee Conference Call Meeting

Date: Friday, August 15, 2008

Attendees: Charlie Hulihan (CBOE), Frank Pirih (Goldman Sachs), Mark Grinbaum (ISE), Walter Roesch (Merrill Lynch), Mark Baumgarnder, Erin Collins, Doreen Scheulin (OCC), Brian Connor (Scottrade), Rich Bommer (SIFMA), Pat Scaglione (Broadridge), George Fischer (eTrade).

Key Discussion Points:

FIF Survey

Tom Jordan from FIF gave an update on the survey that was send out to all FIF members, Tom stated that FIF felt that the survey could help shorten the implementation process of symbology. The focus of the survey is around the internal industry identifier and also best practices of options in the new world. The next step will be to send the survey to non-FIF members. Once the results are compiled, Tom Jordon will review the survey and its results with this committee and then the larger OSI committee.

09/23/08 Symposium

Rich Bommer from SIFMA has updated the symposium panels and set the agenda on the SIFMA website, a link to SIFMA's website is also included at the OCC symbology website. SIFMA's reported that 75 people have registered so far and that more marketing is needed. A Key Note Speaker is needed by today or Monday 08/25/08, in addition to an AMEX representative. Pat Scaglione from Broadridge agreed to moderate the Service Provider Perspective Panel. All information for the handouts must be ready by 09/10/08. Walter Roesch from Merrill Lynch still needs all speakers bio for his introductions, SIFMA will provide Walter with the information as soon as it has been finalized. An OPRA representative is also needed, Joe Corrigan from OPRA will be contacted.

Lastly, Frank Pirih from Goldman Sachs wished Mark Baumgarnder well in the new endeavors and Mark thanked everyone for their well wishes and said that OCC would be announcing his symbology replacement next week.

The next meeting is scheduled for Wednesday, 08/27/08 @ 1:30 p.m., CDT.

Call in # 800.582.9056 Room *8818637*