

OCC SecList Example

Below is a set of 3 sample OCC DDS series records as of May 2007. The **SecList RptID** field represents the beginning of each new series record. In terms of field size, all of data elements contained in the current OCC DDS security list report are OSI series key compliant today with the exception of **ID** (**ID = OPRA code: this field will be obsolete as of February 12, 2010**).

The **Yellow highlight** represents the data elements identified in the series key of the OSI plan that will be obsolete on February 12, 2010 according the OSI Implementation Plan.

The **Gray highlight** represents the data elements that will change as part of the Options Symbology Initiative.

The MMY data element will be part of the series key on February 12, 2010 and will be updated to hold a DD field in order to be OSI compliant. The updated format of this field of YYYYMMDD will be available on the OCC SecList DDS messages on June 27, 2008.

The StrkQt data element will be updated on February 12, 2010 when all Equity Option strike prices are converted to decimals.

The **Green Highlight** represents the data elements that will be relevant on February 12, 2010 and are available on the OCC SecList DDS message **today**. Market participants that are currently reading these fields and **not dependent on OPRA codes** may not require a change to read the OCC SecList DDS message on February 12, 2010.

The **Blue highlight** represents data that will not change and will remain relevant on February 12, 2010.

```
<SecList RptID="9198444" BizDt="2007-05-10">
  <SecL>
    <Instrmt MMY="20070616" MatDt="2007-06-16" CFI="OPASPS"
      StrkPx="30" Sym="CHK" ID="RF" Src="J" StrkQt="30">
      <Evnt EventType="5" Dt="2007-04-23" />
    </Instrmt>
  </SecL>
</SecList>

<SecList RptID="9198457" BizDt="2007-05-10">
  <SecL>
    <Instrmt MMY="20070616" MatDt="2007-06-16" CFI="OPASPS"
      StrkPx="32.5" Sym="CHK" ID="RZ" Src="J" StrkQt="32 4/8">
      <Evnt EventType="5" Dt="2007-04-23" />
    </Instrmt>
  </SecL>
</SecList>

<SecList RptID="9198450" BizDt="2007-05-10">
  <SecL>
    <Instrmt MMY="20070616" MatDt="2007-06-16" CFI="OPASPS"
      StrkPx="35" Sym="CHK" ID="RG" Src="J" StrkQt="35">
      <Evnt EventType="5" Dt="2007-04-23" />
    </Instrmt>
  </SecL>
</SecList>
```