

Meeting Name: Symbology Committee

Date: Wednesday April 2, 2008

Attendees:

Dan Jersky (AMEX), Christine Campanelli, Timothy Donohue (Bank of America Securities), John Goode, Carolle LeCouffe, Todd Treworgy (BOX), Pat Scaglione (Broadridge), Charlie Hullihan (CBOE), Deb Lucas, Alison White (Charles Schwab), Gege Tam, Min Wang (Credit-Suisse), Marilyn Hignett Stern (Deloitte), Susan Newton, Cecelia Poppleton, Greg Schneider (Fidelity Investments), Tom Jordon, Manisha Kimmel (FIF), Debbie Geary (Goldman Sacs), Mark Grinbaum (ISE), Vincent Mattera (JP Morgan), Karen Fogarty, Shantharam Iyer, Loren Taylor, Ellen Xu (Lehman), Wesley Bagaj, Patricia Mayo, Eric Rynar, Joseph Vecchione (Merrill Lynch), Mike West (NASDAQ), Cary Broniszewski (NYSE Euronext), Sharson Basso, Erin Collins, Karen Glad, Dave Harrison, Mike Hemmerling, Mary Kay Kulinski, Joe Pellegrini, Tracy Poole, Doreen Scheulin (OCC), Joe Corrigan (OPRA), Michael Altabef, Randy Foster, Elina Gamburg, John Shelton (PHLX), Marie Hughes, Ken Jones, Jason Kenney, Thomas Kirkpatrick, Denise Siebert (Raymond James), Margaret Hopkins, James Kelliher (RBS Global), Ann Boncimino (Reuters), Brian Connor (Scottrade), Rich Bommer, John Pachery, Art Trager (SIFMA), Kelly Bell, John Lacey, Michael McAllister (Southwest Securities), Denise Martin (Stephens), Frank Williams, Susan Crozier (Sungard), Lisa Cardwell (Sungard/GMI), Matthew Tringali (Swiss American), Steve Woodward (TR Ameritrade), Vanita Gupta, John Scott (UBS), John Coverly (West Water).

Key Discussion Points:

Agenda

Feedback/questions submitted to the Symbology Initiative Group via optionsclearing.com Website

Michael Ippolito from Charles Schwab sent in a question to the optionsclearing.com symbology website asking 'Does OCC provide or could you provide an OPRA symbology Series Key daily cross reference file? Mark Baumgardner from OCC answered that he wanted to validate with this committee to see if OCC would create a web application to attempt to map out the old and new symbols, would it be useful to the committee members. Charlie Hullihan from CBOE stated that he thought that information is already provided on the DDS product file today. Mark Baumgardner told Michael Ippolito that OCC would follow-up with him. After the meeting, OCC's Member Services department spoke with Michael and told him who the contact person from Charles Schwab was to be able to provide him his information.

Scripted Industry Testing Sub-Committee Status

Milestone Dates for 2010 Implementation

Karen Glad from OCC reviewed the revised milestone dates for options symbology consolidation stages for the revised 2010 implementation. After the 02/12/2010 implementation, the first consolidation will begin Friday, 02/26/2010 and run through 05/07/2010. The consolidation approach will be to ensure LEAP classes are consolidated prior to May 2010. Key note – after the 02/12/2010 hard cutover implementation date, any new products listed on Exchanges will be listed using the new OSI format.

OSI Scripted Industry Test Dates

The revised OSI Scripted Industry test dates have been finalized. Beta testing will begin Saturday, 09/12/2009. Phase I – Round #1 will begin 10/10/2009 and include daily processing only. Phase I – Round #2 will be a replay of Round #1. Saturday, 12/12/2009 will be used as a Reserved Test Date if needed. Phase II – Round #1 will be Saturday, 01/09/2010 and Round #2 will be a replay of Round #1. The Saturday days have been selected to ensure that everyone participates.

Mark Baumgardner stated that work still needs to be completed regarding test scripts for firms. Mark asked if any members would be interested in forming a subcommittee – regarding firms downstream testing, possibly creating some templates and get some documentation started. A leader would be needed, other than OCC or Exchanges members to lead this subcommittee. If anyone is interested please email Mark @ mbaumgardner@theocc.com or Karen @ kglad@theocc.com.

Proposed OSI Test Symbol Structure

Karen Glad from OCC asked the committee if anyone had any questions regarding the proposed structure and no recommends were received. Karen recommended that everyone look again at the documents and ensure that it fits your needs. Call or email Karen @ kglad@theocc.com.

Karen also stated that the Scripted Industry Testing Sub-committee decided to change their meetings to once a month, the 4th Friday of every month.

Approval of the OSI Plan v1.5

No one on the committee opposed the proposed OSI Plan v1.5. This version will be posted to the website by the end of business day, Wednesday, 04/02/08. Version 1.6 will be sent to the committee Thursday, 04/03/08 for approval. Version 1.6 includes all of the milestone changes as approved as the last committee meeting.

All committee members have until 12:00 p.m., CST (1:00 p.m., EST), Friday to respond to the v1.6.

Optionsclearing.com Symbology Website Updates

The optionsclearing.com Symbology web site will be updated today, Wednesday 04/02/09 with all current revised milestone dates.

Next Meeting: Conference Call – April 30, 2008 – 9:00 AM, CST (10:00 AM, EST)

Call in: Phone: 800.582.9056 Room: *8818637*