

Meeting Name: Symbology Consolidation Committee Meeting

Date: Thursday March 4, 2010

Key Discussion Points:

Agenda

Representatives from all Exchanges were present, except BATS.

Erin Collins, OCC gave a reminder that Spread instructions sent into OCC need to take into consideration the timings of consolidations. Files submitted for Friday's business should be sent with pre-consolidation symbols, while those sent for Monday's business should be sent with post-consolidation symbols. A meeting was held with all of the Operations Managers this week to discuss this reminder.

A reminder regarding the publishing of consolidation spreadsheets was given. Spreadsheets will be published beginning each week of a consolidation with the final being posted the Wednesday of a consolidation week. OCC will not publish preliminary spreadsheets during non-consolidation weeks.

A reminder was also given regarding the upcoming meeting schedule. As the industry moves further along in the consolidation schedule, meetings will only be held on the Thursday prior to a consolidation event:

03/11/2010

04/08/2010

04/22/2010

05/06/2010

05/13/2010

Next Meeting: Conference Call – March 11, 2010 – 9:00 AM, CT (10 AM, ET)