



Valid Basket Codes for Risk Based Haircuts and Customer Portfolio Margin

Basket Code	Index / ETF Symbol	Description	Class Group	Product Group	Basket Minimum %	Basket Offset %	Capitalization Minimum %	SPECIAL NOTATIONS		
								Options not traded on primary index / ETF symbol	Futures traded on primary index symbol	Exchange Traded Fund(s) based on primary index symbol
00000		* Position not part of a basket								
100K	OOK	ALPS Oklahoma ETF	OOK	999	5	95	95	X		
1TXF	TXF	ALPS Texas Large Companies ETF	TXF	999	5	95	95	X		
10065	XAL	AMEX Airline Index basket	65	65	5	95	95			
10039	BJE	NYSE Arca Biotechnology Index	39	36	5	95	95			FBT
10094	JBV	NYSE Arca Broker/Dealer Index	94	94	5	95	95			
10042	EUR	AMEX Eurotop 100 Index basket	42	42	5	95	50			
10089	CYC	AMEX Morgan Stanley Cyclical Index basket	89	89	5	95	95			
10095	XNG	AMEX Natural Gas Index	95	15	5	95	95			
10024	BZJ	NYSE Arca Oil Index	24	15	5	95	95			
10037	KBK	NYSE Arca Pharmaceutical Index	37	37	5	95	95			
1BHH	BHH	B2B Internet HOLDERS Trust	BHH	999	5	95	95	X		
1MBB	MBB	Barclays MBS Bond Fund	MBB	999	5	95	95	X		
1BXUB	BXUB	Barclays SPX Long B ETN	BXUB	999	5	95	50	X		
1BXUC	BXUC	Barclays SPX Long C ETN	BXUC	999	5	95	50	X		
1BXDB	BXDB	Barclays SPX Short B ETN	BXDB	999	5	95	50	X		
1BXDC	BXDC	Barclays SPX Short C ETN	BXDC	999	5	95	50	X		
1BXDD	BXDD	Barclays SPX Short D ETN	BXDD	999	5	95	50	X		
1BBH	BBH	Biotech HOLDERS	BBH	999	5	95	95			
1ADRD	ADRD	BLDRS Developed Markets 100 ADR Index Fund	ADRD	999	5	95	50	X		
1ADRE	ADRE	BLDRS Emerging Markets 50 ADR Index Fund	ADRE	999	5	95	50			
1ADRU	ADRU	BLDRS Europe 100 ADR Index Fund	ADRU	999	5	95	50	X		
1BDH	BDH	Broadband HOLDERS Trust	BDH	999	5	95	95			
10082	CYX	CBOE China Index basket	82	81	7.5	95	50	X		
10063	ECM	CBOE Dow Jones e-Commerce Index basket	63	117	5	95	95	X		
10134	MUT	CBOE Dow Jones High-Yield Index basket	134	134	5	95	95	X		
10071	DTX	CBOE Dow Jones Transportation Index basket	71	85	5	95	95			
10097	INX	CBOE Internet Index basket	97	93	5	95	95	X		
10086	MVR	CBOE Morgan Stanley Retail Index basket	86	84	5	95	95			
10025	OIX	CBOE Oil Index basket	25	15	5	95	95			
10045	SML	CBOE S&P Small Cap 600 Index basket	45	45	7.5	95	50		SMC, SMP	IJR, SBB, SAA, SDD
1CQQQ	CQQQ	Claymore China Technology ETF	CQQQ	999	5	95	95			
1CGW	CGW	Claymore S&P Global Water ETF	CGW	999	5	95	95			
1UBD	UBD	Claymore U.S. Capital Markets Bond ETF	UBD	999	5	95	95	X		
1YAO	YAO	Claymore/AlphaShares China All-Cap ETF	YAO	999	5	95	50			
1TAO	TAO	Claymore/AlphaShares China Real Estate ETF	TAO	999	5	95	95	X		
1HAO	HAO	Claymore/AlphaShares China Small Cap Index ETF	HAO	999	7.5	95	95			
1LVL	LVL	Claymore/BBD High Income Index ETF	LVL	999	5	95	95	X		
1EEB	EEB	Claymore/BNY BRIC ETF	EEB	999	5	95	95			
1FRN	FRN	Claymore/BNY Mellon Frontier Markets ETF	FRN	999	5	95	95			
1EXB	EXB	Claymore/Clear Global Exchanges, Brokers & Asset Managers Index ETF	EXB	999	5	95	95			
1CUT	CUT	Claymore/Clear Global Timber Index ETF	CUT	999	5	95	95	X		
1CSD	CSD	Claymore/Clear Spin-off ETF	CSD	999	5	95	95	X		
1XGC	XGC	Claymore/Great Companies Large-Cap Growth Index ETF	XGC	999	5	95	50	X		
1TAN	TAN	Claymore/MAC Global Solar Energy Index ETF	TAN	999	5	95	95			
1OTR	OTR	Claymore/Ocean Tomo Growth Index ETF	OTR	999	5	95	50	X		
1OTP	OTP	Claymore/Ocean Tomo Patent Index ETF	OTP	999	5	95	95	X		
1ROB	ROB	Claymore/Robb Report Global Luxury Index ETF	ROB	999	5	95	95			
1EEN	EEN	Claymore/Robeco Developed International Equity ETF	EEN	999	5	95	95	X		
1DEF	DEF	Claymore/Sabrient Defensive Equity Index ETF	DEF	999	5	95	95	X		
1NFO	NFO	Claymore/Sabrient Insider ETF	NFO	999	5	95	95	X		
1STH	STH	Claymore/Sabrient Stealth ETF	STH	999	5	95	95	X		
1ENY	ENY	Claymore/SWM Canadian Energy Income Index ETF	ENY	999	5	95	95	X		
1CRO	CRO	Claymore/Zacks Country Rotation ETF	CRO	999	5	95	95	X		
1IRO	IRO	Claymore/Zacks Dividend Rotation ETF	IRO	999	5	95	50	X		
1HGI	HGI	Claymore/Zacks International Yield Hog Index ETF	HGI	999	5	95	95	X		
1CZA	CZA	Claymore/Zacks Mid-Cap Core ETF	CZA	999	5	95	50	X		
1XRO	XRO	Claymore/Zacks Sector Rotation ETF	XRO	999	5	95	95	X		
1CVY	CVY	Claymore/Zacks Yield Hog ETF	CVY	999	5	95	50	X		
1MLPN	MLPN	Credit Suisse Cushing 30 MLP Index ETNs	MLPN	999	5	95	95			
10342	TYD	Direxion Daily 10-Year Treasury 3x Shares	342	142	5	95	95			TYO
10343	TMV	Direxion Daily 30-Year Treasury 3x Shares	343	143	5	95	95			TMF
1BRIS	BRIS	Direxion Daily BRIC Bear 2X Shares	BRIS	999	7.5	95	95	X		
1BRIL	BRIL	Direxion Daily BRIC Bull 2X Shares	BRIL	999	7.5	95	95	X		
1CZI	CZI	Direxion Daily China Bear 3x Shares	CZI	999	7.5	95	95	X		
1CZM	CZM	Direxion Daily China Bull 3x Shares	CZM	999	7.5	95	95	X		
10112	ERX	Direxion Daily Energy 3x Shares	112	15	5	95	95			ERY
10098	FAS	Direxion Daily Financial 3x Shares	98	99	5	95	95			FAZ
1INDZ	INDZ	Direxion Daily India Bear 2X Shares	INDZ	999	7.5	95	95	X		
1INDL	INDL	Direxion Daily India Bull 2X Shares	INDL	999	7.5	95	95	X		
1LHB	LHB	Direxion Daily Latin America Bear 3x Shares	LHB	999	7.5	95	95	X		
1LBJ	LBJ	Direxion Daily Latin America Bull 3x Shares	LBJ	999	7.5	95	95	X		
1FEZ	FEZ	DJ Euro STOXX 50 ETF	FEZ	999	5	95	50			
10016	DJX	Dow Jones Industrials Index basket	16	9	5	95	50		DJ, YM, DO, DIA, DOG, DDM, DXD, SDOW, DIA1C, DOG1C	UDOW
1IYM	IYM	Dow Jones U.S. Basic Materials Index	IYM	999	5	95	95			UYM, SMN
1IYK	IYK	Dow Jones U.S. Consumer Goods Index	IYK	999	5	95	95			UGE, SZK
1IYC	IYC	Dow Jones U.S. Consumer Services Index	IYC	999	5	95	95			UCC, SCC

10096	IYG	Dow Jones U.S. Financial Services Index	96	99	5	95	95		
10101	IYF	Dow Jones U.S. Financials Index	101	99	5	95	95		UYG, SKF, SEF
11YH	IYH	Dow Jones U.S. Health Care Index	IYH	999	5	95	95		RXL, RXD
11YJ	IYJ	Dow Jones U.S. Industrials Index	IYJ	999	5	95	95		SIJ, UXI
10116	IYE	Dow Jones U.S. Oil & Gas Index	116	15	5	95	95		DDG, DIG, DUG,
10061	IYR	Dow Jones U.S. Real Estate Index	61	68	5	95	95	RE	URE, SRS
10501	IDU	Dow Jones U.S. Utilities Index	501	57	5	95	95		UPW, SDP
10128	IYW	Dow Jones US Technology Index	128	76	5	95	95		ROM, REW
10054	DUX	Dow Jones Utility Average	54	57	5	95	95		
1EKH	EKH	Europe 2001 HOLDRS	EKH	999	5	95	50	X	
1FXD	FXD	First Trust Consumer Discretionary AlphaDEX Fund	FXD	999	5	95	95	X	
1FDD	FDD	First Trust DJ STOXX Select Dividend 30 Index Fund	FDD	999	5	95	95	X	
1FGD	FGD	First Trust Dow Jones Global Select Dividend Index Fund	FGD	999	5	95	95	X	
1FDN	FDN	First Trust Dow Jones Internet Index Fund	FDN	999	5	95	95	X	
1FDM	FDM	First Trust Dow Jones Select MicroCap Index Fund	FDM	999	7.5	95	50		
1FXN	FXN	First Trust Energy AlphaDEX Fund	FXN	999	5	95	95	X	
1FXO	FXO	First Trust Financials AlphaDEX Fund	FXO	999	5	95	95		
1FFR	FFR	First Trust FTSE EPRA/NAREIT Global Real Estate Index Fund	FFR	999	5	95	95	X	
1FXH	FXH	First Trust Health Care AlphaDEX Fund	FXH	999	5	95	95	X	
1FXR	FXR	First Trust Industrials AlphaDEX Fund	FXR	999	5	95	95	X	
1FNI	FNI	First Trust ISE ChIndia Index Fund	FNI	999	5	95	50		
1CU	CU	First Trust ISE Global Copper Index Fund	CU	999	5	95	95		
1PLTM	PLTM	First Trust ISE Global Platinum Index Fund	PLTM	999	5	95	95		
1FAN	FAN	First Trust ISE Global Wind Energy Fund	FAN	999	5	95	95		
1FEX	FEX	First Trust Large Cap Core AlphaDEX Fund	FEX	999	5	95	50	X	
1FDL	FDL	First Trust Morningstar Dividend Leaders Index Fund	FDL	999	5	95	50	X	
10021	QQEW	First Trust NASDAQ-100 Equal Weighted Index Fund	21	8	5	95	50		
1FYX	FYX	First Trust Small Cap Core AlphaDEX Fund	FYX	999	7.5	95	50	X	
1FXL	FXL	First Trust Technology AlphaDEX Fund	FXL	999	5	95	95	X	
1FPX	FPX	First Trust US IPO Index Fund	FPX	999	5	95	95	X	
1FVL	FVL	First Trust Value Line 100 ETF	FVL	999	5	95	50	X	
1FVD	FVD	First Trust Value Line Dividend Index Fund	FVD	999	5	95	50	X	
1FVI	FVI	First Trust Value Line Equity Allocation Index Fund	FVI	999	5	95	50	X	
1FNA	IFNA	FTSE EPRA/NAREIT North America Index Fund	IFNA	999	5	95	95	X	
10080	FXI	FTSE/Xinhua China 25 Index ETFs	80	81	7.5	95	50		FXN FXP, XPP
1CHIQ	CHIQ	Global X China Consumer ETF	CHIQ	999	5	95	95		
1CHIX	CHIX	Global X China Financials ETF	CHIX	999	5	95	95		
1CHII	CHII	Global X China Industrials ETF	CHII	999	5	95	95		
1CHIB	CHIB	Global X China Technology ETF	CHIB	999	5	95	95		
1QAI	QAI	IndexIQ Hedge Multi-Strategy Tracker ETF	QAI	999	5	95	95	X	
1IAH	IAH	Internet Architecture HOLDRS	IAH	999	5	95	95	X	
10509	HHH	Internet HOLDRs Trust	509	93	5	95	95		
1IIH	IIH	Internet Infrastructure HOLDRs Trust	IIH	999	5	95	95	X	
1JJE	JJE	iPath DJ AIG Energy Index ETN	JJE	999	5	95	95	X	
1JJG	JJG	iPath DJ AIG Grains Index ETN	JJG	999	5	95	95	X	
1INP	INP	iPath MSCI India Index ETN	INP	999	5	95	95	X	
10124	HSX	ISE - CCM Homeland Security Index basket	124	124	5	95	95		
10010	IXZ	ISE 250 Index basket	10	9	5	95	50		
10008	IXK	ISE 50 Index basket	8	9	5	95	50		
10174	RND	ISE Bio-Pharmaceuticals Index basket	174	174	5	95	95		
10215	POW	ISE CCM Alternative Energy Index	215	215	5	95	95		
10212	TNY	ISE CCM Nanotechnology Index	212	212	5	95	95		
10127	DMA	ISE Electronic Trading Index	127	127	5	95	95		
10019	HVY	ISE Gold Index basket	19	12	5	95	95		
10126	RUF	ISE Homebuilders Index basket	126	30	5	95	95		
10103	PMP	ISE Integrated Oil & Gas Index	103	15	5	95	95		
10060	UKX	ISE Mini FTSE 100 Index basket	60	60	5	95	50		
10123	OOG	ISE Oil and Gas Services Index	123	15	5	95	95		
10121	BYT	ISE Semiconductors Index basket	121	104	5	95	95		
10172	SIN	ISE SINdex basket	172	172	5	95	95		
10170	JLO	ISE U.S. Regional Banks Index basket	170	170	5	95	95		
10216	HHO	ISE Water Index	216	216	5	95	95		FIW
10100	FUM	ISE-Revere Natural Gas Index	100	15	5	95	95		FCG, FCGI, FCGS
10107	WMX	ISE-Revere Wal-Mart Supplier Index	107	107	5	95	95		
1EWA	EWA	iShares Australia Index ETF	EWA	999	5	95	50		
1EWO	EWO	iShares Austria Index ETF	EWO	999	5	95	50	X	
10354	TLT	iShares Barclays 20+ Year Treasury Bond Fund	354	154	5	95	95		TBF, TBT, UBT
1AGZ	AGZ	iShares Barclays Agency Bond Fund	AGZ	999	5	95	95	X	
1EWC	EWC	iShares Canada Index ETF	EWC	999	5	95	50		
10068	ICF	iShares Cohen & Steers Realty Majors	68	68	5	95	95		
1IDV	IDV	iShares DJ EPAC Select Dividend Index Fund	IDV	999	5	95	50	X	
1DVG	DVG	iShares DJ Select Dividend Index Fund	DVG	999	5	95	50		
10517	IYY	iShares DJ US Total Market Index ETF	517	9	5	95	50		
10519	IYT	iShares Dow Jones Transportation Average Index Fund	519	85	5	95	95		ITYIC
1ITA	ITA	iShares Dow Jones U.S. Aerospace & Defense Index Fund	ITA	999	5	95	95	X	
1IAI	IAI	iShares Dow Jones U.S. Broker-Dealers Index Fund	IAI	999	5	95	95		
1IHF	IHF	iShares Dow Jones U.S. Healthcare Providers Index Fund	IHF	999	5	95	95		
10028	ITB	iShares Dow Jones U.S. Home Construction Index Fund	28	30	5	95	95		
1IAK	IAK	iShares Dow Jones U.S. Insurance Index Fund	IAK	999	5	95	95	X	
1IHI	IHI	iShares Dow Jones U.S. Medical Devices Index Fund	IHI	999	5	95	95		
10104	IEO	iShares Dow Jones U.S. Oil & Gas Exploration & Production Index Fund	104	15	5	95	95		
10102	IEZ	iShares Dow Jones U.S. Oil Equipment & Services Index Fund	102	15	5	95	95		
1IHE	IHE	iShares Dow Jones U.S. Pharmaceuticals Index Fund	IHE	999	5	95	95	X	
1IAT	IAT	iShares Dow Jones U.S. Regional Banks Index Fund	IAT	999	5	95	95		
1IYZ	IYZ	iShares Dow Jones US Telecommunications Sector Index Fund	IYZ	999	5	95	95		TLL, LTL
1EWQ	EWQ	iShares France Index ETF	EWQ	999	5	95	50	X	
1FSM	IFSM	iShares FTSE Developed Small Cap ex-North America Index Fund	IFSM	999	7.5	95	50	X	

1IFAS	IFAS	iShares FTSE EPRA/NAREIT Asia Fund	IFAS	999	5	95	95	X	
1IFEU	IFEU	iShares FTSE EPRA/NAREIT Europe Index Fund	IFEU	999	5	95	95	X	
1IFGL	IFGL	iShares FTSE EPRA/NAREIT Global Real Estate ex-U.S. Index Fund	IFGL	999	5	95	95	X	
1FIO	FIO	iShares FTSE NAREIT Industrial/Office Index Fund	FIO	999	5	95	95	X	
1REM	REM	iShares FTSE NAREIT Mortgage REITs Index Fund	REM	999	5	95	95	X	
1FTY	FTY	iShares FTSE NAREIT Real Estate 50 Index Fund	FTY	999	5	95	95	X	
1RTL	RTL	iShares FTSE NAREIT Retail Index Fund	RTL	999	5	95	95	X	
1EWG	EWG	iShares Germany Index ETF	EWG	999	5	95	50		
1IGF	IGF	iShares Global Infrastructure Index Fund	IGF	999	5	95	95	X	
1IGE	IGE	iShares GSSI Natural Resources Index Fund	IGE	999	5	95	95		
1EWH	EWH	iShares Hong Kong Index ETF	EWH	999	5	95	50		
10359	HYG	iShares iBoxx \$ High Yield Corporate Bond Fund	359	159	5	95	95		
10360	LQD	iShares iBoxx \$ Investment Grade Corporate Bond Fund	360	160	5	95	95		
1EWI	EWI	iShares Italy Index ETF	EWI	999	5	95	50	X	
1EMB	EMB	iShares JPMorgan USD Emerging Markets Bond Fund	EMB	999	5	95	95	X	
1DSI	DSI	iShares KLD 400 Social Index Fund	DSI	999	5	95	50		
1KLD	KLD	iShares KLD Select Social Index Fund	KLD	999	5	95	50		
10348	TLH	iShares Barclays 10-20 Year Treasury Bond Fund	348	148	5	95	95	X	
1CSJ	CSJ	iShares Barclays 1-3 Year Credit Bond Fund	CSJ	999	5	95	95		
10350	SHY	iShares Barclays 1-3 Year Treasury Bond Fund	350	150	5	95	95		SCHO
10347	IEI	iShares Barclays 3-7 Year Treasury Bond Fund	347	147	5	95	95		
10351	IEF	iShares Barclays 7-10 Year Treasury Bond Fund	351	151	5	95	95		PST
10352	AGG	iShares Barclays Aggregate Bond Fund	352	152	5	95	50		LAG
10363	CFT	iShares Barclays Credit Bond Fund	363	163	5	95	95	X	
1GBF	GBF	iShares Barclays Government/Credit Bond Fund	GBF	999	5	95	95	X	
1CIU	CIU	iShares Barclays Intermediate Credit Bond Fund	CIU	999	5	95	95	X	
1GVI	GVI	iShares Barclays Intermediated Government/Credit Bond Fund	GVI	999	5	95	95	X	
10349	SHV	iShares Barclays Short Treasury Bond Fund	349	149	5	95	95	X	
10361	TIP	iShares Barclays TIPS Bond Fund	361	161	5	95	95		SCHP
1EWM	EWM	iShares Malaysia Index ETF	EWM	999	5	95	50		
1JKD	JKD	iShares Morningstar Large Core Index Fund	JKD	999	5	95	50	X	
1JKE	JKE	iShares Morningstar Large Growth Index Fund	JKE	999	5	95	50	X	
1JKF	JKF	iShares Morningstar Large Value Index Fund	JKF	999	5	95	50	X	
1JKG	JKG	iShares Morningstar Mid Core Index Fund	JKG	999	7.5	95	50	X	
1JKH	JKH	iShares Morningstar Mid Growth Index Fund	JKH	999	7.5	95	50	X	
1JKI	JKI	iShares Morningstar Mid Value Index Fund	JKI	999	7.5	95	50	X	
1JKJ	JKJ	iShares Morningstar Small Core Index Fund	JKJ	999	7.5	95	50	X	
1JKK	JKK	iShares Morningstar Small Growth Index Fund	JKK	999	7.5	95	50	X	
1JKL	JKL	iShares Morningstar Small Value Index Fund	JKL	999	7.5	95	50	X	
1EWK	EWK	iShares MSCI Belgian Investable Market Index Fund	EWK	999	5	95	50	X	
1BKF	BKF	iShares MSCI BRIC Index Fund	BKF	999	5	95	50		
1ECH	ECH	iShares MSCI Chile Index Fund	ECH	999	5	95	95		
1SCZ	SCZ	iShares MSCI EAFE Small Cap Index Fund	SCZ	999	7.5	95	95		
1EZU	EZU	iShares MSCI EMU Index Fund	EZU	999	5	95	50		
1EFG	EFG	iShares MSCI Growth Index Fund	EFG	999	5	95	50		
1SCJ	SCJ	iShares MSCI Japan Small Cap Index Fund	SCJ	999	7.5	95	95	X	
1TOK	TOK	iShares MSCI Kokusai Index Fund	TOK	999	5	95	95	X	
1EWL	EWL	iShares MSCI Switzerland Index Fund	EWL	999	5	95	50		
1EFV	EFV	iShares MSCI Value Index Fund	EFV	999	5	95	50		
1EWN	EWN	iShares Netherlands Index ETF	EWN	999	5	95	50	X	
1NY	NY	iShares NYSE 100 Index Fund	NY	999	5	95	50	X	
10050	IWZ	iShares Russell 3000 Growth Index ETF	50	52	5	95	50	X	
10085	IWC	iShares Russell Microcap Index ETF	85	45	7.5	95	50		
10520	ISI	iShares S&P 1500 Index ETF	520	9	5	95	50	X	
1AIA	AIA	iShares S&P Asia 50 Index Fund	AIA	999	5	95	95	X	
1EMIF	EMIF	iShares S&P Emerging Markets Infrastructure Index Fund	EMIF	999	5	95	95		
1IEV	IEV	iShares S&P Europe 350 Index ETF	IEV	999	5	95	50		
1RXI	RXI	iShares S&P Global Consumer Discretionary	RXI	999	5	95	95	X	
1KXI	KXI	iShares S&P Global Consumer Staples Sector Index Fund	KXI	999	5	95	95	X	
1IXC	IXC	iShares S&P Global Energy Index Fund	IXC	999	5	95	95		
1IXJ	IXJ	iShares S&P Global Healthcare Sector Index Fund	IXJ	999	5	95	95		
1EXI	EXI	iShares S&P Global Industrials Sector Index Fund	EXI	999	5	95	95	X	
1MXI	MXI	iShares S&P Global Materials Sector Index Fund	MXI	999	5	95	95	X	
1IXN	IXN	iShares S&P Global Technology Sector Index Fund	IXN	999	5	95	95		
1JXI	JXI	iShares S&P Global Utilities Sector Index Fund	JXI	999	5	95	95	X	
1IGN	IGN	iShares S&P GSTI Networking Index Fund	IGN	999	5	95	95		
10518	IGW	iShares S&P GSTI Semiconductor Index Fund	518	104	5	95	95		
1IGV	IGV	iShares S&P GSTI Software Index Fund	IGV	999	5	95	95	X	IGV1C
1ILF	ILF	iShares S&P Latin American 40 Index ETF	ILF	999	5	95	50		
1IJJ	IJJ	iShares S&P MidCap 400 Value Index Fund	IJJ	999	7.5	95	50	X	
1IJK	IJK	iShares S&P MidCap 400/BARRA Growth	IJK	999	7.5	95	50		
1IGM	IGM	iShares S&P North America Technology Sector Index Fund	IGM	999	5	95	95	X	
1IJT	IJT	iShares S&P SmallCap 600/BARRA Growth	IJT	999	7.5	95	50		
1IJS	IJS	iShares S&P SmallCap 600/BARRA Value	IJS	999	7.5	95	50		
1PFF	PFF	iShares S&P U.S. Preferred Stock Index Fund	PFF	999	5	95	95		
1EWS	EWS	iShares Singapore Index ETF	EWS	999	5	95	50		
1EZA	EZA	iShares South Africa Index ETF	EZA	999	5	95	50		
1EWY	EWY	iShares South Korea Index ETF	EWY	999	5	95	50		
1EWP	EWP	iShares Spain Index ETF	EWP	999	5	95	50	X	
1EWD	EWD	iShares Sweden Index ETF	EWD	999	5	95	50		
1EWT	EWT	iShares Taiwan Index ETF	EWT	999	5	95	50		
1EWU	EWU	iShares United Kingdom Index ETF	EWU	999	5	95	50		
1WPS	WPS	iShares World ex-U.S. Property Index Fund	WPS	999	5	95	95	X	
1AMJ	AMJ	JPMorgan Alerian MLP Index ETNs	AMJ	999	5	95	95		
1MKH	MKH	Market 2000+ HOLDRS	MKH	999	5	95	50	X	
1MOO	MOO	Market Vectors Agribusiness ETF	MOO	999	5	95	95		
1BRF	BRF	Market Vectors Brazil Small-Cap ETF	BRF	999	7.5	95	95		BRF1C



1PXH	PXH	PowerShares FTSE RAFI Emerging Markets Portolio	PXH	999	5	95	95				
1PEF	PEF	PowerShares FTSE RAFI Europe Portfolio	PEF	999	5	95	50	X			
1PJO	PJO	PowerShares FTSE RAFI Japan Portfolio	PJO	999	5	95	95	X			
10515	PRF	PowerShares FTSE RAFI US 1000 Portfolio	515	9	5	95	50				
1PBD	PBD	PowerShares Global Clean Energy Portfolio	PBD	999	5	95	95	X			
1PIO	PIO	PowerShares Global Water Portfolio	PIO	999	5	95	95				
1PGJ	PGJ	PowerShares Golden Dragon Halter USX China Portfolio	PGJ	999	5	95	50				
1PHB	PHB	PowerShares Fundamental High Yield Corporate Bond Portfolio	PHB	999	5	95	95	X			
1PEY	PEY	PowerShares High Yield Equity Dividend Achievers Portfolio	PEY	999	5	95	95	X			
1PIN	PIN	PowerShares India Portfolio	PIN	999	5	95	95				
1PID	PID	PowerShares International Dividend Achievers Portfolio	PID	999	5	95	50				
1PSP	PSP	PowerShares Listed Private Equity Portfolio	PSP	999	7.5	95	95	X			
1PXN	PXN	PowerShares Lux Nanotech Portfolio	PXN	999	5	95	95				
1PGX	PGX	PowerShares Preferred Portfolio	PGX	999	5	95	95				
1PIV	PIV	PowerShares S&P 500 High Quality Portfolio	PIV	999	5	95	95	X			
1PHO	PHO	PowerShares Water Resources Portfolio	PHO	999	5	95	95				
1PBW	PBW	PowerShares WilderHill Clean Energy Portfolio	PBW	999	5	95	95				
1PZJ	PZJ	PowerShares Zacks Small Cap Portfolio	PZJ	999	7.5	95	50	X			
10120	USD	ProShares Ultra Semiconductor	120	104	5	95	95			USD1C	
1JPX	JPX	ProShares UltraShort MSCI Pacific ex-Japan	JPX	999	5	95	50	X			
1SSG	SSG	ProShares UltraShort Semiconductor	SSG	999	5	95	95			SSG1C	
10504	RKH	Regional Bank HOLDERS Trust	504	99	5	95	95				
10511	RTH	Retail HOLDERS Trust	511	84	5	95	95				
1RWL	RWL	RevenueShares Large Cap Fund	RWL	999	5	95	50				
10051	RLG	Russell 1000 Growth Index basket	51	52	5	95	50			RG	IWF, UKF, SFK
10017	RUI	Russell 1000 Index basket	17	9	5	95	50			RF	IWB, BGU, BGZ
10129	TYH	Russell 1000 Technology Index	129	76	5	95	95			TYP1C	TYP
10055	RLV	Russell 1000 Value Index basket	55	53	5	95	50			RV	IWD, SJF, UVG
10043	RUT	Russell 2000	43	45	7.5	95	50			TF, RWM1C	IWM, RWM, SRTY, TNA, TWM, TZA, UWM
10058	RUO	Russell 2000 Growth Index basket	58	45	7.5	95	50			GH	IWO, SJF, SKK, UKK
10066	RUJ	Russell 2000 Value Index basket	66	45	7.5	95	50				IWN, SJH, UVT
10015	RUA	Russell 3000 Index basket	15	9	5	95	50			TH	IWW, UWC, TWQ
10069	RAV	Russell 3000 Value Index basket	69	53	5	95	50				IWW
10075	RDG	Russell Midcap Growth Index basket	75	52	5	95	50				IWP, UKW, SDK
10047	RMC	Russell Midcap Index	47	45	7.5	95	50				IWR, MWN, MWJ
10079	RMV	Russell Midcap Value Index basket	79	53	5	95	50				IWS, SJL, UVU
1XLG	XLG	Rydex Russell Top 50 ETF	XLG	999	5	95	50				
1RPV	RPV	Rydex S&P 500 Pure Value ETF	RPV	999	5	95	50	X			
1RCD	RCD	Rydex S&P Equal Weight Consumer Discretionary ETF	RCD	999	5	95	95	X			
1RHS	RHS	Rydex S&P Equal Weight Consumer Staples ETF	RHS	999	5	95	95	X			
1RYE	RYE	Rydex S&P Equal Weight Energy ETF	RYE	999	5	95	95	X			
10507	RSP	Rydex S&P Equal Weight ETF	507	9	5	95	50				
1RYF	RYF	Rydex S&P Equal Weight Financial ETF	RYF	999	5	95	95	X			
1RYH	RYH	Rydex S&P Equal Weight Health Care ETF	RYH	999	5	95	95	X			
1RGI	RGI	Rydex S&P Equal Weight Industrials ETF	RGI	999	5	95	95	X			
1RTM	RTM	Rydex S&P Equal Weight Materials ETF	RTM	999	5	95	95	X			
1RYT	RYT	Rydex S&P Equal Weight Technology ETF	RYT	999	5	95	95	X			
1RYU	RYU	Rydex S&P Equal Weight Utilities ETF	RYU	999	5	95	95	X			
1RFV	RFV	Rydex S&P MidCap 400 Pure Value ETF	RFV	999	7.5	95	50	X			
10013	OEX	S&P 100 Index basket	13	9	5	95	50				OEF
10099	XLF	S&P 500 Financials	99	99	5	95	95			XLF1C	
10018	SPX	S&P 500 Index basket	18	9	5	95	50			ES, SP, BZ	SPY, IVV, SH, SSO, SDS, RSU, RSW, UPRO, SPXU
10052	SGX	S&P Barra Growth Index basket	52	52	5	95	50			SG	IWW
10053	SVX	S&P Barra Value Index basket	53	53	5	95	50			SU	IVE
10012	MID	S&P MidCap 400	12	45	7.5	95	50			MD, ME	MDY, IJH, MYY, MVV, MZZ, SMDD, UMDD
1SCHE	SCHE	Schwab Emerging Markets Equity ETF	SCHE	999	5	95	50				
10344	SCHR	Schwab Intermediate-Term U.S. Treasury ETF	344	144	5	95	95				
1SCHF	SCHF	Schwab International Equity ETF	SCHF	999	5	95	50				
1SCHC	SCHC	Schwab International Small-Cap Equity ETF	SCHC	999	7.5	95	50				
1SCHB	SCHB	Schwab U.S. Broad Market ETF	SCHB	999	5	95	50				
1SCHX	SCHX	Schwab U.S. Large-Cap ETF	SCHX	999	5	95	50				
1SCHG	SCHG	Schwab U.S. Large-Cap Growth ETF	SCHG	999	5	95	50				
1SCHV	SCHV	Schwab U.S. Large-Cap Value ETF	SCHV	999	5	95	50				
1SCHA	SCHA	Schwab U.S. Small-Cap ETF	SCHA	999	7.5	95	50				
1XLY	XLY	Select Sector SPDR - Consumer Discretionary	XLY	999	5	95	95				
1XLP	XLP	Select Sector SPDR - Consumer Staples	XLP	999	5	95	95				
10521	XLE	Select Sector SPDR - Energy	521	15	5	95	95			XLE1C	
1XLV	XLV	Select Sector SPDR - Health Care	XLV	999	5	95	95			XLV1C	
1XLI	XLI	Select Sector SPDR - Industrial	XLI	999	5	95	95				
1XLB	XLB	Select Sector SPDR - Materials	XLB	999	5	95	95				
1XSD	XSD	Select Sector SPDR - Semiconductor	XSD	999	5	95	95				
10508	XLK	Select Sector SPDR - Technology	508	76	5	95	95				
10514	XLU	Select Sector SPDR - Utilities	514	57	5	95	95			XLU1C	
10502	SMH	Semiconductor HOLDERS Trust	502	104	5	95	95				
10213	SVO	SIG Energy MLP Index	213	213	5	95	95				
10196	EPX	SIG Oil Exploration & Producers Index basket	196	15	5	95	95				
1SWH	SWH	Software HOLDERS Trust	SWH	999	5	95	95				
1ITR	ITR	SPDR Barclays Intermediate Term Credit Bond ETF	ITR	999	5	95	95	X			
1FEU	FEU	SPDR STOXX Europe 50 ETF	FEU	999	5	95	50	X			
1RWX	RWX	SPDR DJ Wilshire International Real Estate ETF	RWX	999	5	95	95				
1ELG	ELG	SPDR DJ Wilshire Large Cap Growth ETF	ELG	999	5	95	50	X			
1ELV	ELV	SPDR DJ Wilshire Large Cap Value ETF	ELV	999	5	95	50	X			
10064	RWR	SPDR DJ Wilshire REIT ETF	64	68	5	95	95				
1DSG	DSG	SPDR DJ Wilshire Small Cap Growth ETF	DSG	999	7.5	95	50	X			

1DSV	DSV	SPDR DJ Wilshire Small Cap Value ETF	DSV	999	7.5	95	50	X
1TMW	TMW	SPDR DJ Wilshire Total Market ETF	TMW	999	5	95	50	
1GII	GII	SPDR FTSE/Macquarie Global Infrastructure 100 ETF	GII	999	5	95	95	X
1KCE	KCE	SPDR KBW Capital Markets ETF	KCE	999	5	95	95	
1KIE	KIE	SPDR KBW Insurance ETF	KIE	999	5	95	95	KIE1C
1JNK	JNK	SPDR Barclays High Yield Bond ETF	JNK	999	5	95	95	
1BWX	BWX	SPDR Barclays International Treasury Bond ETF	BWX	999	5	95	95	
1XME	XME	SPDR Metals & Mining ETF	XME	999	5	95	95	
1CWI	CWI	SPDR MSCI ACWI ex-US ETF	CWI	999	5	95	50	X
10114	XES	SPDR Oil & Gas Equipment & Services ETF	114	15	5	95	95	
10115	XOP	SPDR Oil & Gas Exploration & Production ETF	115	15	5	95	95	
1JSC	JSC	SPDR Russell/Nomura Small Cap Japan ETF	JSC	999	5	95	95	
10513	XBI	SPDR S&P Biotech ETF	513	36	5	95	95	
1BIK	BIK	SPDR S&P BRIC 40 ETF	BIK	999	5	95	50	
10091	GXC	SPDR S&P China ETF	91	81	7.5	95	50	
1SDY	SDY	SPDR S&P Dividend ETF	SDY	999	5	95	95	
1GMF	GMF	SPDR S&P Emerging Asia Pacific ETF	GMF	999	5	95	95	X
1GUR	GUR	SPDR S&P Emerging Europe ETF	GUR	999	5	95	95	
1GML	GML	SPDR S&P Emerging Latin America ETF	GML	999	5	95	95	X
1GMM	GMM	SPDR S&P Emerging Markets ETF	GMM	999	5	95	95	X
10029	XHB	SPDR S&P Homebuilders ETF	29	30	5	95	95	
1DWX	DWX	SPDR S&P International Dividend ETF	DWX	999	5	95	95	
1GWX	GWX	SPDR S&P International Small Cap ETF	GWX	999	7.5	95	95	
1XPH	XPH	SPDR S&P Pharmaceuticals ETF	XPH	999	5	95	95	X
10083	XRT	SPDR S&P Retail ETF	83	84	5	95	95	
1XSD	XSD	SPDR S&P Semiconductor ETF	XSD	999	5	95	95	X
1GWL	GWL	SPDR S&P World ex-US ETF	GWL	999	5	95	95	X
1PSK	PSK	SPDR Wells Fargo Preferred Stock ETF	PSK	999	5	95	95	X
1TDD	TDD	TDAX Independence 2010 ETF	TDD	999	5	95	95	X
1TDH	TDH	TDAX Independence 2020 ETF	TDH	999	5	95	95	X
1TDN	TDN	TDAX Independence 2030 ETF	TDN	999	5	95	95	X
1TDV	TDV	TDAX Independence 2040 ETF	TDV	999	5	95	95	X
1TDX	TDX	TDAX Independence In-Target ETF	TDX	999	5	95	95	X
1TTH	TTH	Telecom HOLDERS Trust	TTH	999	5	95	95	
1PTD	PTD	UBS E-TRACS CMCI Short Platinum Excess Return	PTD	999	5	95	95	X
10503	UTH	Utilities HOLDERS Trust	503	57	5	95	95	
10341	EDV	Vanguard Extended Duration Treasury Index	341	141	5	95	95	X
1VCSH	VCSH	Vanguard Short-Term Corporate Bond Index	VCSH	999	5	95	95	X
1VGSH	VGSH	Vanguard Short-Term Government Bond Index	VGSH	999	5	95	95	X
1VCLT	VLCT	Vanguard Long-Term Corporate Bond Index	VCLT	999	5	95	95	X
1VCIT	VCIT	Vanguard Intermediate-Term Corporate Index	VCIT	999	5	95	95	X
1VGIT	VGIT	Vanguard Intermediate-Term Government Bond Index	VGIT	999	5	95	95	X
1VGLT	VGLT	Vanguard Long-Term Government Bond Index	VGLT	999	5	95	95	X
1VIG	VIG	Vanguard Dividend Appreciation ETF	VIG	999	5	95	50	X
1VCR	VCR	Vanguard Consumer Discretionary Index ETF	VCR	999	5	95	95	X
1VDC	VDC	Vanguard Consumer Staples VIPERs	VDC	999	5	95	95	
10526	VWO	Vanguard Emerging Markets Stock Index ETF	526	523	5	95	50	
10117	VDE	Vanguard Energy Index	VDE	15	5	95	95	
10525	VEA	Vanguard Europe Pacific Index ETF	525	522	7.5	95	50	
1VGK	VGK	Vanguard European VIPERs	VGK	999	5	95	95	
1VXF	VXF	Vanguard Extended Market VIPERs	VXF	999	5	95	95	
1VFH	VFH	Vanguard Financials VIPERs	VFH	999	5	95	95	
1VEU	VEU	Vanguard FTSE All-World Except US ETF	VEU	999	5	95	50	
1VUG	VUG	Vanguard Growth VIPERs	VUG	999	5	95	50	
1VHT	VHT	Vanguard Health Care VIPERs	VHT	999	5	95	95	
1VYM	VYM	Vanguard High Dividend Yield ETF	VYM	999	5	95	50	X
1VIS	VIS	Vanguard Industrials VIPERs	VIS	999	5	95	95	
1VGT	VGT	Vanguard Information Technology VIPERs	VGT	999	5	95	95	
10355	BIV	Vanguard Intermediate-Term Bond ETF	355	155	5	95	95	
1VV	VV	Vanguard Large-Cap VIPERs	VV	999	5	95	50	
10356	BLV	Vanguard Long-Term Bond ETF	356	156	5	95	95	
1VAW	VAW	Vanguard Materials VIPERs	VAW	999	5	95	95	
1MGK	MGK	Vanguard Mega Cap 300 Growth Index	MGK	999	5	95	50	
1MGC	MGC	Vanguard Mega Cap 300 Index	MGC	999	5	95	50	
1MGV	MGV	Vanguard Mega Cap 300 Value Index	MGV	999	5	95	50	
1VOT	VOT	Vanguard Mid-Cap Growth ETF	VOT	999	7.5	95	50	
1VO	VO	Vanguard Mid-Cap Index	VO	999	7.5	95	50	
1VOE	VOE	Vanguard Mid-Cap Value ETF	VOE	999	7.5	95	50	
1VPL	VPL	Vanguard Pacific VIPERs	VPL	999	5	95	95	
10084	VNQ	Vanguard REIT VIPERs	84	68	5	95	95	
1BSV	BSV	Vanguard Short-Term Bond ETF	BSV	999	5	95	95	
1VBK	VBK	Vanguard Small-Cap Growth VIPERs	VBK	999	7.5	95	50	
10046	VB	Vanguard Small-Cap Index	46	45	7.5	95	50	
1VBR	VBR	Vanguard Small-Cap Value ETF	VBR	999	7.5	95	50	
1VOX	VOX	Vanguard Telecommunication Services ETF	VOX	999	5	95	95	X
10357	BND	Vanguard Total Bond Market ETF	357	157	5	95	50	
10505	VPU	Vanguard Utilities VIPERs	505	57	5	95	95	
1VTV	VTV	Vanguard Value VIPERs	VTV	999	5	95	50	
1DWM	DWM	WisdomTree DIEFA Fund	DWM	999	5	95	50	X
1DTH	DTH	WisdomTree DIEFA High-Yielding Equity Fund	DTH	999	5	95	50	X
1DTN	DTN	WisdomTree Dividend Top 100 Fund	DTN	999	5	95	50	X
1EPS	EPS	WisdomTree Earnings 500 Fund	EPS	999	5	95	50	X
1DEM	DEM	WisdomTree Emerging Markets High Yielding Equity Fund	DEM	999	5	95	95	X
1DGS	DGS	WisdomTree Emerging Markets SmallCap Dividend Fund	DGS	999	7.5	95	95	X
1DHS	DHS	WisdomTree Equity Income Fund	DHS	999	5	95	50	X
1DEW	DEW	WisdomTree Europe High-Yielding Equity Fund	DEW	999	5	95	50	X
1DFE	DFE	WisdomTree Europe SmallCap Dividend Fund	DFE	999	7.5	95	50	X

1EPI	EPI	WisdomTree India Earnings Fund	EPI	999	5	95	95	
1DBN	DBN	WisdomTree International Basic Materials Sector Fund	DBN	999	5	95	95	X
1DPC	DPC	WisdomTree International Consumer Cyclical Sector Fund	DPC	999	5	95	95	
1DOO	DOO	WisdomTree International Dividend Top 100 Fund	DOO	999	5	95	50	X
1DKA	DKA	WisdomTree International Energy Sector Funds	DKA	999	5	95	95	X
1DOL	DOL	WisdomTree International LargeCap Dividend Fund	DOL	999	5	95	50	X
1DIM	DIM	WisdomTree International MidCap Dividend Fund	DIM	999	7.5	95	50	X
1DRW	DRW	WisdomTree International Real Estate Fund	DRW	999	5	95	95	X
1DLS	DLS	WisdomTree International SmallCap Dividend Fund	DLS	999	7.5	95	50	X
1DBU	DBU	WisdomTree International Utilities Sector Fund	DBU	999	5	95	95	X
1DNL	DNL	WisdomTree Japan High-Yielding Equity Fund	DNL	999	5	95	50	X
1DFJ	DFJ	WisdomTree Japan SmallCap Dividend Fund	DFJ	999	7.5	95	50	X
1DXJ	DXJ	WisdomTree Japan Total Dividend Fund	DXJ	999	5	95	50	X
1DLN	DLN	WisdomTree LargeCap Dividend Fund	DLN	999	5	95	50	X
1EZM	EZM	WisdomTree MidCap Earnings Fund	EZM	999	7.5	95	50	X
1DNH	DNH	WisdomTree Pacific ex-Japan High-Yielding Equity Fund	DNH	999	5	95	50	X
1DND	DND	WisdomTree Pacific Ex-Japan Total Dividend Fund	DND	999	5	95	50	
1DES	DES	WisdomTree SmallCap Dividend Fund	DES	999	7.5	95	95	X
1EES	EES	WisdomTree SmallCap Earnings Fund	EES	999	7.5	95	50	X
1DTD	DTD	WisdomTree Total Dividend Fund	DTD	999	5	95	50	X
*end								